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# Cracking Update



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# Motivation

- Efficient optimization of cracking process will significantly impact pecan post-harvesting process.
- Implement advanced technologies to provide smarter machines and fine-tune cracking processes to control pecan half-yields and to promote overall effectiveness.
- Recommendations to have a greater half-yield following cracking process.



# Research Objectives

1. Optimize Half Yield and improve controllability in pecan post-processing.
2. Determine impact of moisture on crack quality during the cracking process.

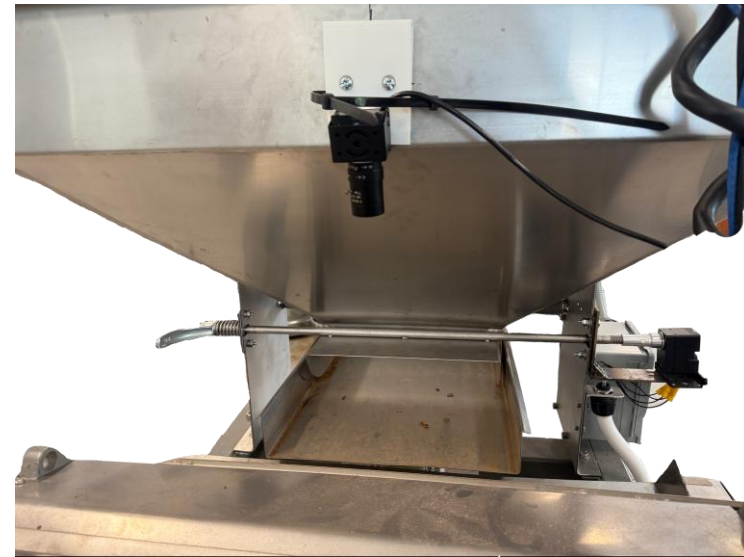


# Equipment



# System Updates

- **Actuators** added to motors to adjust entry and exit height difference.
- **Feed rate controller** added to the system to control the number of pecans entering the JC.



# Assumptions

- **Disclaimer:** we are doing this in a controlled lab environment. The trends we present are valid, but the absolute numbers you see in your facility will likely not be the same.
- Results are based on our environment, pecan variety, and machine parameters.
- All sheller settings remain constant throughout our testing.



# Cracking Parameters

- **Plate contact Frequency:** Vibratory frequency of the plate feeding into the JC (Hz).
- **Throughput rate:** Number of pecans entering the JC per second (pecans/sec). This should represent machine's productive output.
- **Crush amount:** Change in height to crush the pecan (in inches), impact for the 0 setting plus/minus the given value.
- **Entry/exit height difference:** Vertical change (in inches) between the opening and exit of the JC due to tilt of the crush plate.
- **Pecan diameter average:** Sets the average origin of the impact plate relative to the JC base for smooth pecan movement.
- **Mid-run kernel/shell moisture:** Kernel/shell moisture after cracking, before shelling





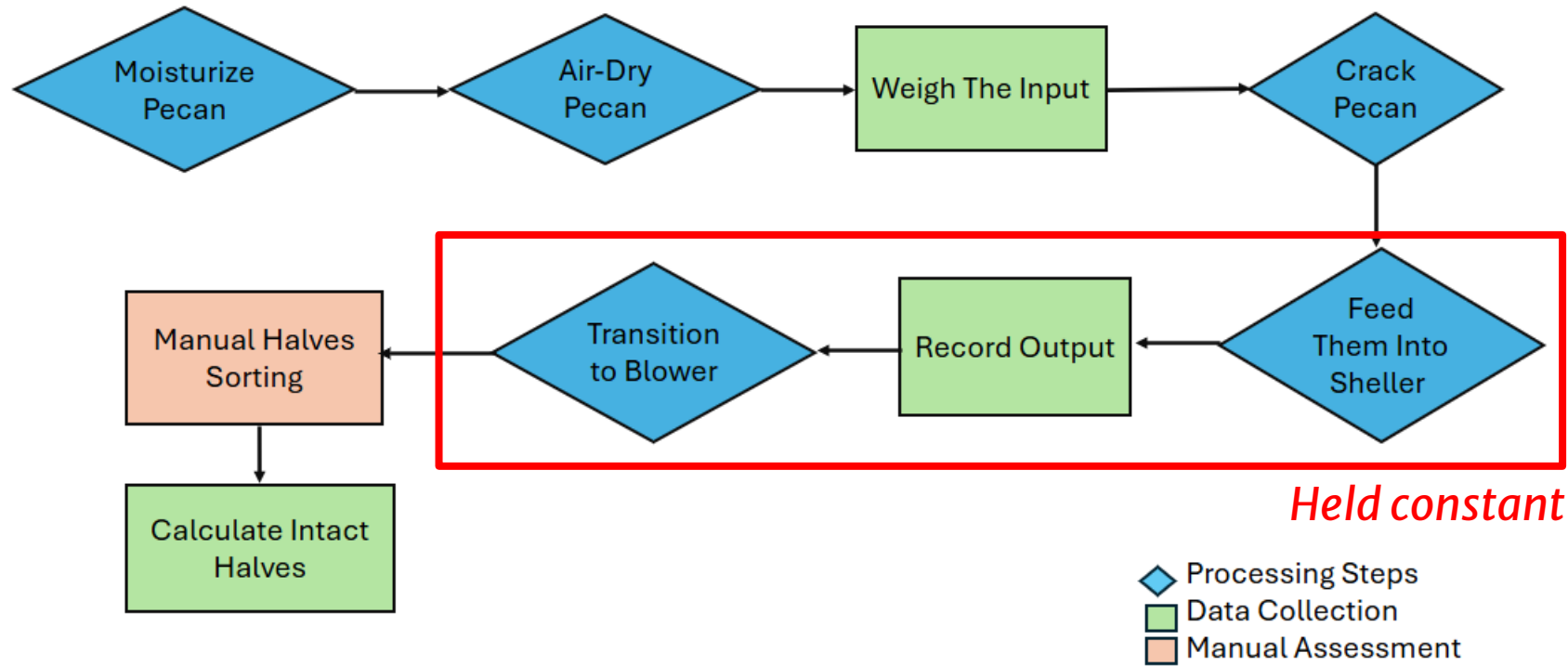
| Independent Variables        | Variables Range |         | Units                 |
|------------------------------|-----------------|---------|-----------------------|
|                              | Minimum         | Maximum |                       |
| Moisture                     | 4               | 11      | %                     |
| Plate Contact Frequency      | 30              | 60      | Hz                    |
| Throughput Rate              | 12              | 38      | Pecans/s              |
| Crush Amount                 | 25              | 125     | Thousands of<br>an in |
| Entry-Exit Height Difference | -3/32           | 3/16    | in                    |

# Methodology



- With a scientific standard, we would have run 243 experiments.
- A strategic decision was made to use a **Latin Hypercube Sampling (LHS)** and run **40 experiments**.
- Based on the current capability of the machine, we tested a wide range of **variables**.
  - Plate Contact Frequency
  - Throughput Rate
  - Crush Amount
  - Entry and Exit Height Difference
  - Pecan Diameter
  - Shell and Kernel Moisture



# Experimental Procedure



# Data Structure

 Independent Variables  
 Dependent Variables

| run_id | Plate contact frequency [hz] | Throughput rate pecans per [sec] | Crush amount [in] | Entry exit height diff [in] | Pecan diameter inch [avg] | Mid run shell moisture [%] | Mid run kernel moisture [avg %] | Final half kernel yield [%] |
|--------|------------------------------|----------------------------------|-------------------|-----------------------------|---------------------------|----------------------------|---------------------------------|-----------------------------|
| 1      | 53                           | 28                               | 0.05              | 0.09                        | 1.02215                   | 27.18                      | 11.5                            | 45.98                       |
| 2      | 34                           | 29                               | 0.03              | 0.01                        | 1.02765                   | 24.79                      | 8.98                            | 49.35                       |
| 3      | 37                           | 23                               | 0.06              | -0.08                       | 1.0082                    | 27.04                      | 8.86                            | 49.32                       |
| 4      | 41                           | 38                               | 0.05              | 0.03                        | 1.02875                   | 22.66                      | 6.28                            | 59.80                       |
| 5      | 38                           | 35                               | 0.07              | -0.08                       | 1.0045                    | 23.68                      | 7.56                            | 53.65                       |
| 6      | 30                           | 24                               | 0.07              | 0.02                        | 0.9985                    | 25.40                      | 6.78                            | 47.77                       |
| 7      | 41                           | 38                               | 0.05              | 0.03                        | 1.00855                   | 24.16                      | 6.78                            | 47.54                       |
| 8      | 30                           | 24                               | 0.07              | 0.02                        | 1.0113                    | 24.08                      | 8.98                            | 56.87                       |
| 9      | 41                           | 14                               | 0.07              | 0.04                        | 1.0185                    | 25.05                      | 7.86                            | 62.46                       |
| 10     | 45                           | 17                               | 0.07              | -0.08                       | 1.01455                   | 28.72                      | 9.72                            | 55.23                       |
| 11     | 60                           | 28                               | 0.06              | -0.1                        | 1.011225                  | 26.98                      | 10.28                           | 50.59                       |
| 12     | 30                           | 36                               | 0.05              | -0.04                       | 0.99463                   | 27.86                      | 9.04                            | 61.09                       |
| 13     | 42                           | 13                               | 0.07              | -0.07                       | 1.0318                    | 24.70                      | 8.36                            | 48.00                       |
| 14     | 60                           | 28                               | 0.06              | -0.1                        | 1.00355                   | 27.45                      | 10.76                           | 47.65                       |



**Based on the tests guided by LHS,  
more tests on optimized variables  
were ran for new data ranges.**



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# Phase 2 DOE

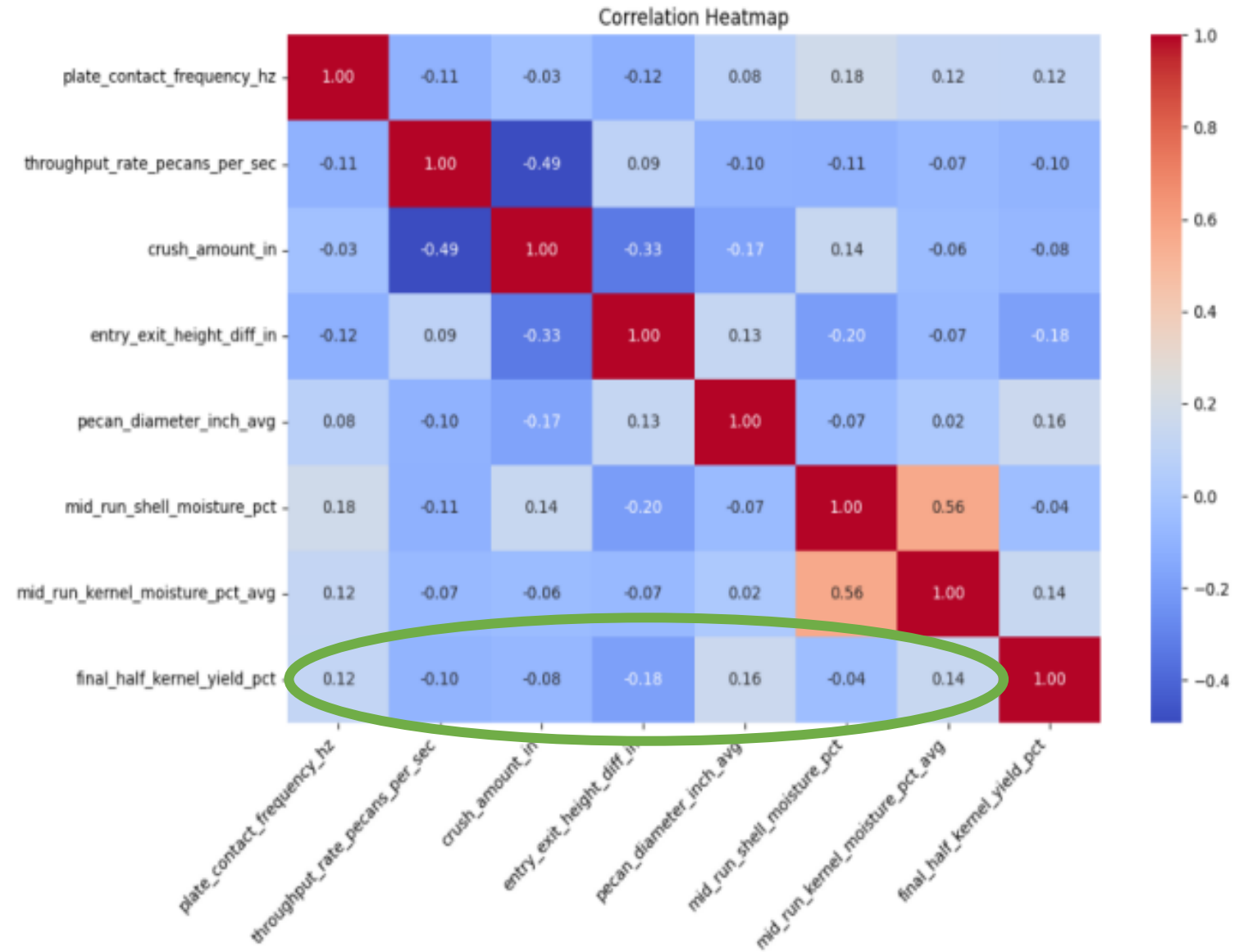
Sample size for each run was 33 lbs.

| Independent Variables        | Variables Range |         | Units    |
|------------------------------|-----------------|---------|----------|
|                              | Minimum         | Maximum |          |
| Moisture                     | 2               | 11      | %        |
| Plate Contact Frequency      | 30              | 60      | Hz       |
| Throughput Rate              | 12              | 38      | Pecans/s |
| Crush Amount                 | 0.03            | 0.08    | in       |
| Entry-Exit Height Difference | -0.1            | 0.09    | in       |

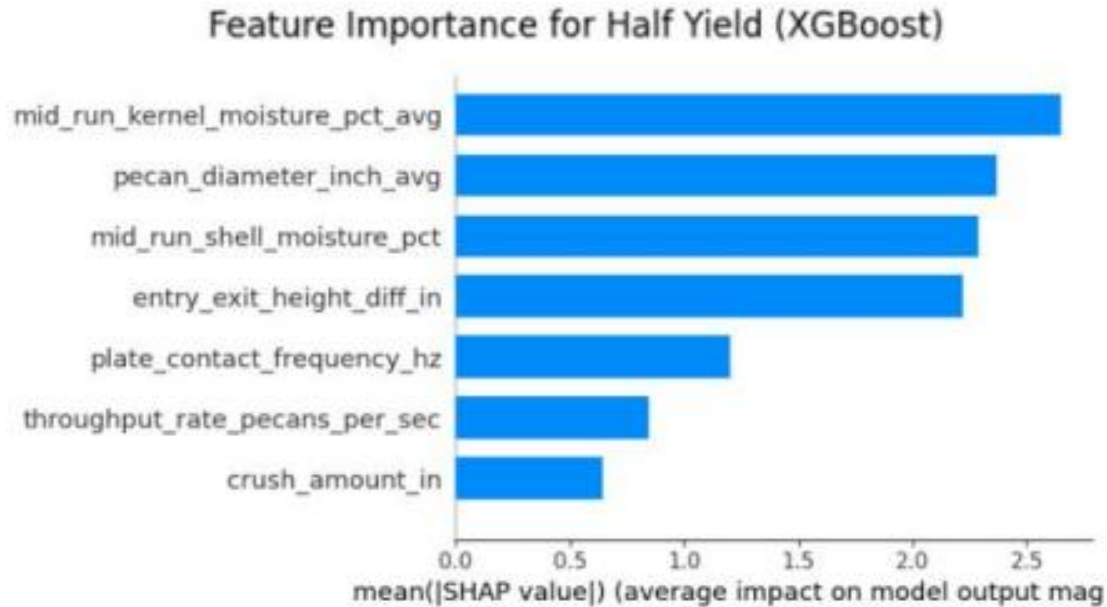


# Correlation

- Half yield is driven by the combined influence of multiple features rather than one dominant variable.



# XGBoost with SHAP (SHapely Additive exPlanation) Interaction Analysis

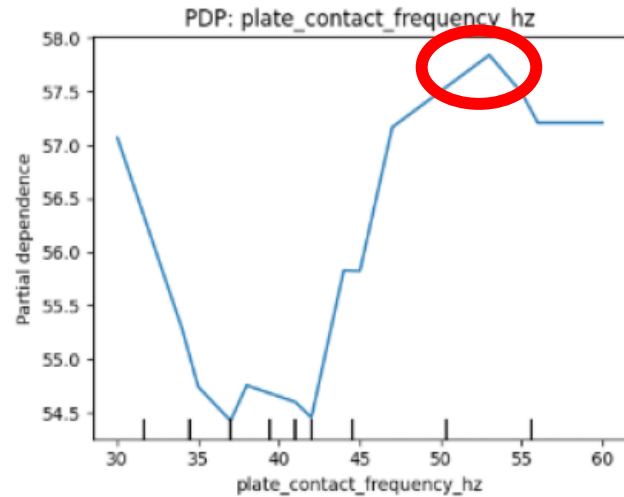


- **Kernel moisture**: Higher kernel moisture % increases yield.
- **Pecan diameter**: Larger average diameters improve yield.
- **Shell moisture**: Lower shell moisture % improves yield.
- **Entry exit height difference**: Negative tilt improves yield.
- **Plate contact**: Moderate-to-higher frequencies slightly improve yield.
- **Throughput rate**: Lower throughput preserve yield.
- **Crush amount**: Smaller crush amounts help preserve halves

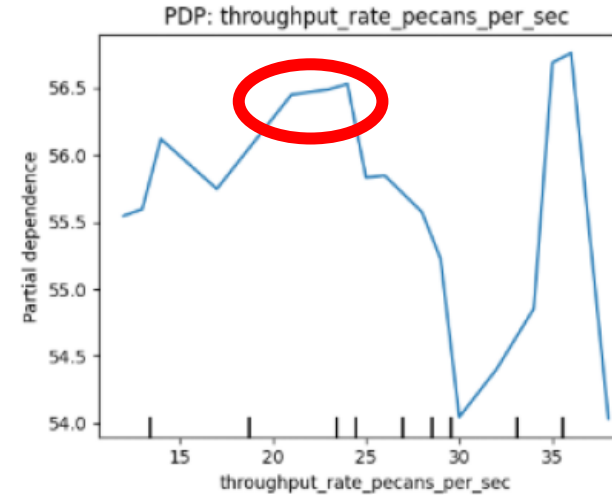


This applies when other features are kept constant except this one feature.

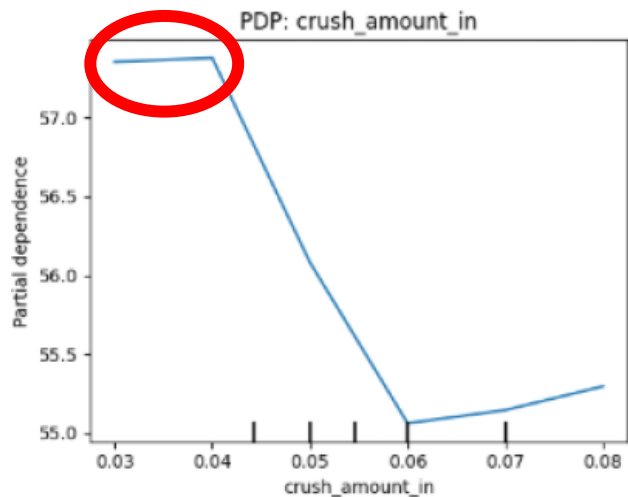
# PDP (Partial Dependence Plots) Recommendations



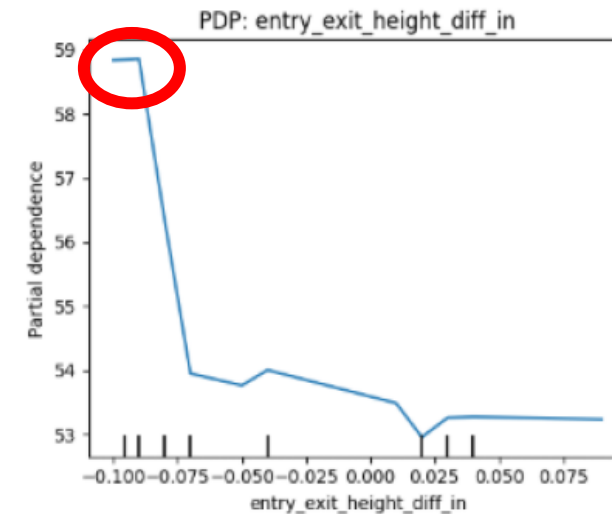
**Plate Contact Frequency:**  
50 – 55 Hz



**Throughput Rate:**  
20 – 25 Pecans/s



**Crush Amount:**  
0.03 – 0.045 in

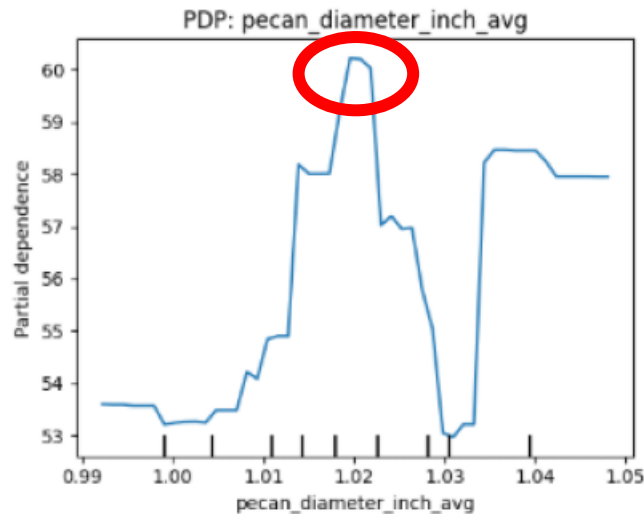


**Entry-Exit Height Difference:**  
-0.10 – 0.08 in

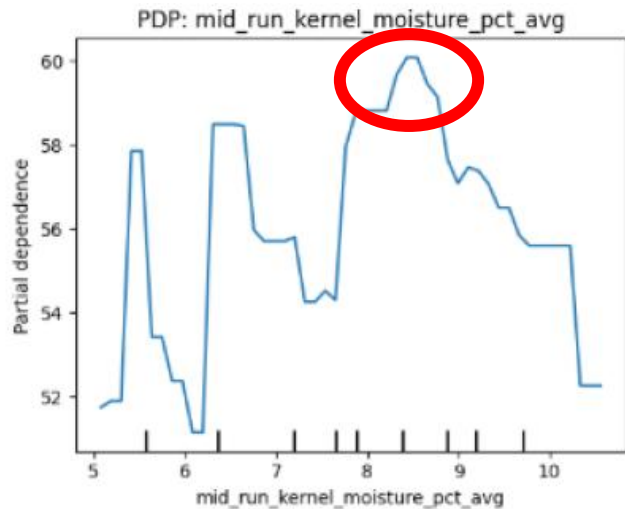


This applies when other features are kept constant except this one feature.

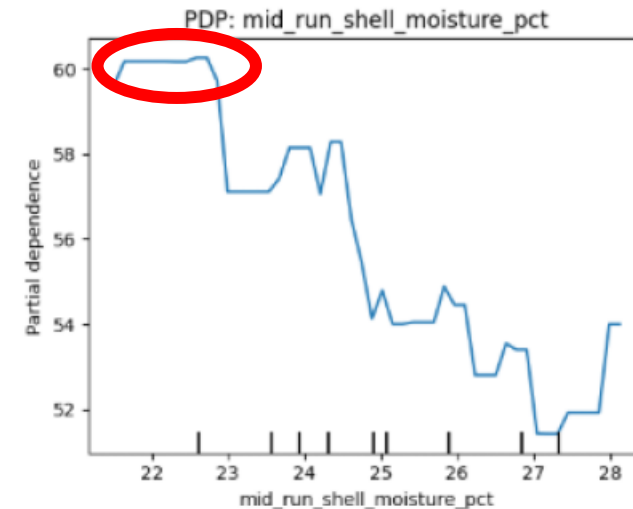
# PDP (Partial Dependence Plots) Recommendations



**Pecan Diameter:**  
1.015 – 1.025 avg in



**Kernel Moisture:**  
8 – 9 % avg

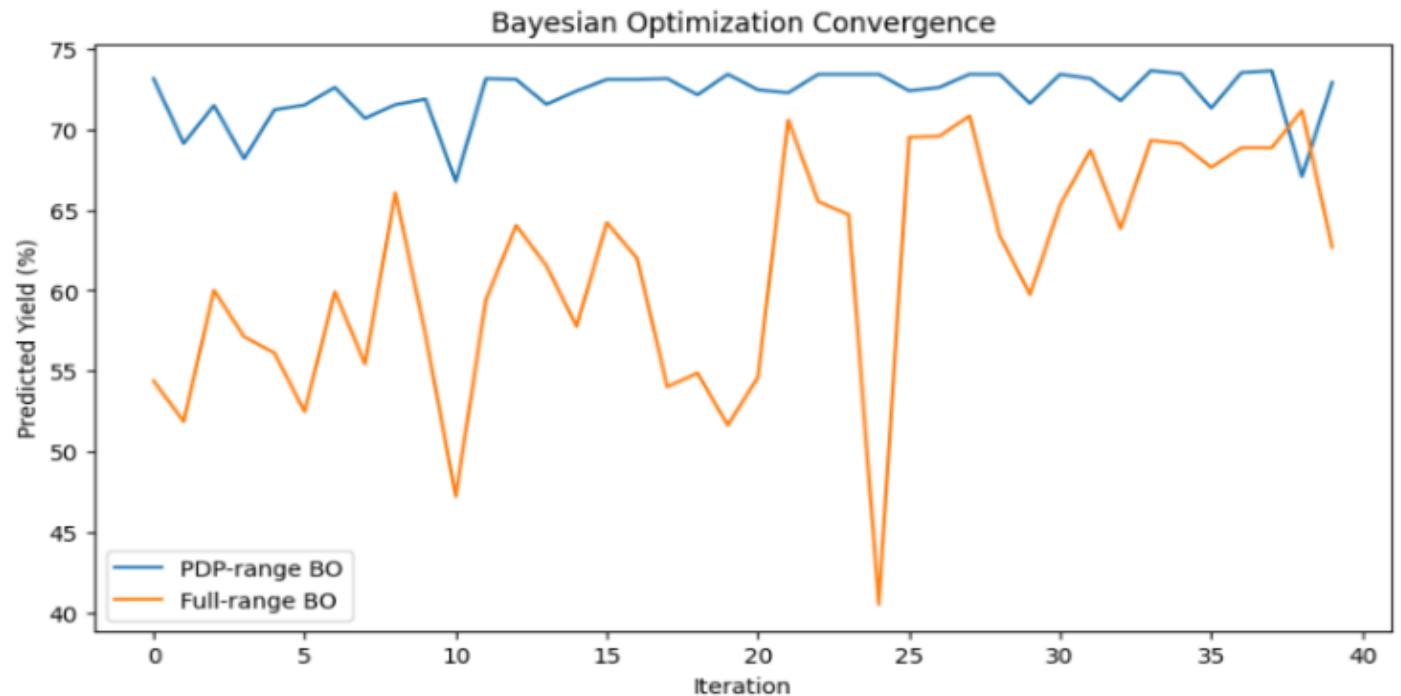


**Shell Moisture:**  
22 – 23 %



# Bayesian Optimization (BO)

- Evaluate how these features interact when set within the PDP-based ranges.
- This approach also allows us to compare the predicted half yield from the PDP-based ranges against the predicted yield from the full observed data range.



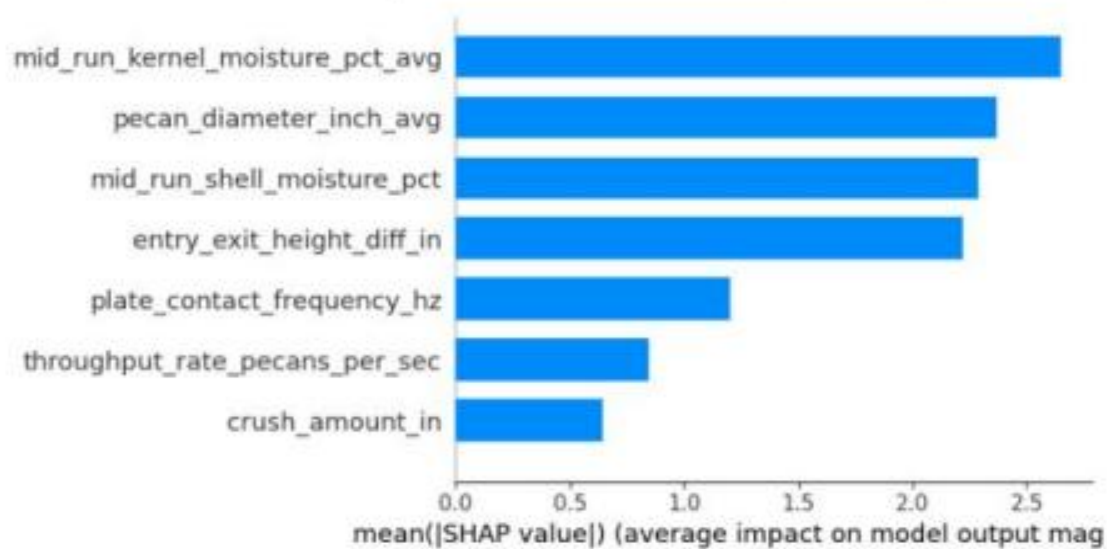
# Bayesian Optimization (BO)

| Bayesian Optimization         |              |                  |            |
|-------------------------------|--------------|------------------|------------|
| Variable                      | Actual Value | Simulation Value | Unit       |
| Plate Contact Frequency       | 54           | 55               | Hz         |
| Throughput Rate               | 24           | 30               | Pecans/sec |
| Crush Amount                  | 0.042        | 0.043            | in         |
| Entry/ Exit Height Difference | -0.087       | -0.081           | in         |
| Pecan Diameter                | 1.021        | 1.051            | in         |
| Shell Moisture                | 22.7         | 31.5             | %          |
| Kernel Moisture               | 8.5          | 8.5              | %          |



# Recap of Analysis

Feature Importance for Half Yield (XGBoost)



- **Kernel moisture**: Higher kernel moisture % increases yield.
- **Pecan diameter**: Larger average diameters improve yield.
- **Shell moisture**: Lower shell moisture % improves yield.
- **Entry exit height difference**: Negative tilt improves yield.
- **Plate contact**: Moderate-to-higher frequencies slightly improve yield.
- **Throughput rate**: Lower throughput preserve yield.
- **Crush amount**: Smaller crush amounts help preserve halves

This applies when other features are kept constant except one feature.

# Summary

| Parameters                   | Average Range Recommended for Max Half Yield |
|------------------------------|--|
| Kernel Moisture              | 8–9 (%)                                      |
| Shell Moisture               | 22–23 (%)                                    |
| Plate Contact Frequency      | 50–55 (Hz)                                   |
| Throughput Rate              | 20–25 (pecans/sec)                           |
| Pecan Diameter               | 1.015 – 1.025 (avg in)                       |
| Crush Amount                 | 0.03–0.045 (in)                              |
| Entry–Exit Height Difference | –0.10 – 0.08 (in)                            |



# Thank you!

## Questions & Comments

Special thank you to Bruna Vialate (PhD Student).



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